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(to be used for all correspondence after initial filing)

Application Number	10/004,301
Filing Date	Nov 2, 2001
First Named Inventor	Frey
Group Art Unit	
Examiner Name	
Attorney Docket Number	PLM007001

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Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

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Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Richard A. Dunning, Jr.
Signature	
Date	5/22/02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Frey et al.

Art Unit :

Serial No. : 10/004,301

Examiner :

Filed : 11/2/2001

Title : DELEGATED AUTHENTICATION USING A GENERIC APPLICATION-
LAYER NETWORK PROTOCOL

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Listed on an attached Form PTO-1449 is information known to applicant(s) and submitted pursuant to 37 C.F.R. §1.56. A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☒ This statement qualifies under 37 C.F.R. §1.97, because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☒ (3) It is being filed before the mailing date of the first Office Action on the merits.

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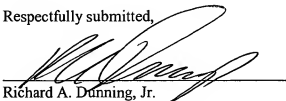
Richard A. Dunning, Jr.

Typed or Printed Name of Person Signing Certificate

- ☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; **or**
- ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- ☐ a certification as specified in §1.97(e) is completed below; **and**
- ☐ a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- ☐ a fee of \$130.00 as set forth in §1.17(i) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ Statement under 37 C.F.R. §1.97(e) - I hereby certify that either: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the information disclosure statement; or no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in section 1.56(c) more than three months prior to the filing of the information disclosure statement.

Date: 5/22/02
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Respectfully submitted,


Richard A. Dunning, Jr.
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Reg. No. 42502

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CLOSURE
APPLICANT

MAY 28 2002

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

2

Application Number	10/004,301
Filing Date	11/2/2001
First Named Inventor	Frey et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	PLM00700

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Examiner
Signature

/David Garcia Cervetti/

Date Considered

03/26/2008

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		MAY 28 2002		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number		10/004,301	
		Filing Date		11/2/2001	
		First Named Inventor		Frey et al.	
		Group Art Unit			
		Examiner Name			
Sheet	2	of	2	Attorney Docket Number	
				PLM007001	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
/DGC/	C1	Kerberos Papers and Documentation, http://web.mit.edu/kerberos/www/papers.html 2000, accessed 2002		
/DGC/	C2	T. Dierks, et al., The TLS Protocol Version 1.0, http://www.ietf.org/rfc/rfc2246.txt Jan 1999		
/DGC/	C3	Microsoft Passport Technical White Paper, March 2001, http://www.passport.com/downloads/TechnicalWhitePaper.doc		
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Examiner Signature	/David Garcia Cervetti/	Date Considered	03/26/2008
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